



**North Yorkshire
County Council**

Agenda

Meeting: Audit Committee

**Venue: Brierley Room, County Hall,
Northallerton DL7 8AD**

**Date: Thursday 20 December 2018 at
1.30pm, or on the rising of the
informal meeting with the External
and Internal Auditors, whichever is
the later**

**Note: Members are invited to attend a
private meeting with the External and
Internal Auditors to be held at 1pm in
the Brierley Room**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk>

Business

Enquiries relating to this agenda please contact Ruth Gladstone **Tel: 01609 532555**
Fax: **01609 797141** or e-mail ruth.gladstone@northyorks.gov.uk
www.northyorks.gov.uk

1. **Minutes of the meeting held on 10 October 2018** (Pages 5 to 10)
2. **Any Declarations of Interest**
3. **Public Questions or Statements**

Members of the public may ask questions or make statements at this meeting if they have given notice, including the text of the question/statement, to Ruth Gladstone of Democratic Services (*contact details at the foot of the first page of this Agenda*) by midday on Monday 17 December 2018. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

4. **Progress on Issues Raised by the Committee** – Joint report of the Corporate Director – Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services) (Pages 11 to 12)
5. **Review of the Procurement and Contract Procedure Rules** – Report of the Corporate Director – Strategic Resources (Pages 13 to 74)
6. **Risk Management – Progress Report** – Report of the Corporate Director – Strategic Resources (Pages 75 to 98)

The following item of business will not be considered before 2.30pm

7. **Business and Environmental Services Directorate:-**
 - (a) **Internal Audit Work** – Report of the Head of Internal Audit (Pages 99 to 104)
 - (b) **Internal Control Matters** – Report of the Corporate Director – Business and Environmental Services (Pages 105 to 123)
8. **Audit Committee Programme of Work 2018/19** (Page 124)
9. **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

Notes:

Emergency Procedures for Meetings

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point outside the main entrance.

Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

AUDIT COMMITTEE

1. Membership

County Councillors (8)					
	<i>Councillors Names</i>			<i>Political Group</i>	
1	ARTHUR, Karl			Conservative	
2	ATKINSON, Margaret		Vice-Chairman	Conservative	
3	BAKER, Robert			Conservative	
4	CLARK, Jim			Conservative	
5	HUGILL, David			Conservative	
6	LUNN, Cliff		Chairman	Conservative	
7	MACKAY, Don			NY Independents	
8	WEBBER, Geoff			Liberal Democrat	
Members other than County Councillors (Non-voting) (3)					
1	PORTLOCK, David				
2	MARSH, David				
3	GRUBB, Nick				
Total Membership – (11)				Quorum – (3) County Councillors	
Con	Lib Dem	NY Ind	Labour	Ind	Total
6	1	1	0	0	8

2. Substitute Members

Conservative		Liberal Democrat	
	<i>Councillors Names</i>		<i>Councillors Names</i>
1	BACKHOUSE, Andrew	1	BROADBANK, Philip
2	COOPER, Richard	2	
3	THOMPSON, Angus	3	
4	PARASKOS, Andy	4	
5	PATMORE, Caroline	5	
NY Independent			
	<i>Councillors Names</i>		
1			
2			
3			
4			
5			